

**UNIVERSITY OF CAMBRIDGE**

**DEPARTMENT OF SLAVONIC STUDIES**

**PAPER SL11: RUSSIA IN REVOLUTION 1861-1917**

**HANDBOOK**



*An Imperial Russia Pop Art, by minutewaltz1847, [www.deviantart.com](http://www.deviantart.com)*

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## INTRODUCTION

### COURSE AIMS

The course is designed to provide you with a thorough grounding in and advanced understanding of Russia's social, political and economic history in the Late Imperial period and to prepare you for the exam, all the while fostering in you deep interest in Russian history.

### BEFORE THE COURSE BEGINS

Familiarise yourself with the general progression of Late Imperial Russian history by reading through one or more of the following (focus on 1861-1917 for texts that span a greater period):

Hobsbawm, E. J.	<i>The Age of Empire 1875-1914</i> (1988)
Moss, Walter	<i>A History of Russia Vol 1 or 2</i> (both cover 1855-1917) (2003)
Waldron, Peter	<i>The End of Imperial Russia, 1855-1917</i> (1997)
Weeks, Theodore	<i>Across the Revolutionary Divide: Russia and the USSR, 1861-1945</i> (2011)
Westwood, J.	<i>Endurance and Endeavour: Russian History 1812-1992</i> (4th ed., 1993)

**Briefing meeting:** There'll be a meeting on the Wednesday before the first teaching day of Michaelmas. Check with the departmental secretary for time and venue. It's essential that you attend and bring this handbook with you.

### COURSE STRUCTURE

The course comprises four elements: lectures, seminars, supervisions and reading.

**Lectures:** you'll have sixteen lectures, eight in Michaelmas and eight in Lent. The lectures provide an introduction to and overview of the course, but no more. It's important to understand that the lectures alone won't enable you to cover the course, nor will they by themselves prepare you for the exam. They're not a substitute for reading, only a supplement to reading.

**Seminars:** you'll have four seminars in Easter term.

**Supervisions:** you'll have ten supervisions: four in Michaelmas, four in Lent and two in Easter.

**Reading:** to study history is, primarily, to read, so reading is the most important aspect of the course. You must understand from the outset that this is primarily a reading course and that, above all, you'll need to commit to reading extensively and consistently. That's why the bulk of the handbook is devoted to providing you with detailed guidance on reading.

### USING THE HANDBOOK

The handbook is divided into four sections:

- Section 1 the exam
- Section 2 lectures & seminars
- Section 3 supervisions
- Section 4 reading

Check each section carefully so you understand the course structure and timetable and exactly what's expected of you.

## SECTION 1: THE EXAM

### DESCRIPTION

The exam paper is divided into three sections and you answer one question from each section. All questions have equal weight.

**Section A** deals with the course's four primary sources. There are always four questions, one on each source.

**Section B** has at least six questions. Most cover the period 1860 to c.1904 but there'll sometimes be one or two questions of a general nature covering the whole period of the paper.

**Section C** has at least six questions. Most cover the period c.1904 to 1917 but, as in section B, there'll sometimes be one or two questions of a general nature covering the whole period of the paper.

### PREPARING FOR THE EXAM

**Section A** is predictable because you can choose in advance which primary source you want to concentrate on in the knowledge that it will always come up on the paper. You should study the sources (provided in hard copy) as part of your specialist reading (section 4.2) and we'll look at them in detail in supervisions (section 3) and in the Easter term seminars (section 2).

**Sections B&C** are periodized (with the occasional general question included in each), but you'll be asked to respond to problems and issues within periods, not simply to periods. You should note that there's no guarantee that a particular problem or issue will always come up in sections B&C, or that problems or issues won't be conflated. This means that you can't 'topic spot' by focussing your work on a narrow aspect of the course – mugging up a couple of problems or issues and hoping they'll see you through, for instance. You'll have to do the whole course in order to be prepared for the exam. On the other hand you won't be asked to respond to anything outside the course aims.

You should look at some past papers to get a feel for the style of questions.

## SECTION 2: LECTURES & SEMINARS

Unless otherwise indicated all lectures are on Tuesdays at 13.00 and last for one hour.  
Check with the departmental secretary for venues.

### MICHAELMAS

- 1 Introduction to the course  
*Reforming the system c.1860-70*
- 2 Revolution from above I: The end of serfdom
- 3 Revolution from above II: Controlling society  
*Modernization and the state c.1870-1904*
- 4 Revolution from above III: Industrialization
- 5 Reaction in modernization: Aleksandr III and Nikolai II  
*Modernization and society c.1870-1904*
- 6 Old wine into new bottles: крестьянство and дворянство
- 7 The fractured class: Workers
- 8 The missing class: The bourgeoisie

### LENT

- Modernization and revolution c.1870-1904*
- 9 Heroic society: народничество and terror
- 10 Claiming the future: Marxism and socialism
- 11 Autocracy as anachronism?: Economic and political crisis  
*The Duma Monarchy and its problems c.1905-14*
- 12 1905-6: Bourgeois revolution?
- 13 1907-14: Stolypin's gamble  
*Russia in Revolution c.1914-17*
- 14 Russia and world war: 1914-16
- 15 On the eve of Revolution?: 1914-16
- 16 Petrograd and the end of autocracy: February 1917

### EASTER

- 17 Seminar: *Выставка русской промышленности 1896 г.* and С. Ю. Витте, *О положении русской промышленности.*
- 18 Seminar: *Программа исполнительного комитета партии «Народной воли»* and *Письмо исполнительного комитета партии «Народной воли» к Александру III.*
- 19 Seminar: *Манифест об усовершенствовании государственного порядка (Манифест 17 Октября 1905 г.)* and С. Ю. Витте, *Письмо о Манифесте 17 Октября 1905 г.*
- 20 Seminar: *Доклад начальника Петербургского охранного отделения Министру Внутренних Дел о ходе массовой забастовки в Петербурге в июле 1914 г.*

## SECTION 3: SUPERVISIONS

### MICHAELMAS

**1** Essay supervision

Choose a question from topics I-III of the Michaelmas list. Preparation: you can do any question you like from within a topic but make sure your supervision partners do the same topic as you. Aim at five to six sides of typed A4; research using the general and topic-related reading in the reading lists; cite quotations by footnoting; end with a full bibliography. You must give me your essays at the lecture prior to your supervision. Please note that I won't be able to read or mark late work.

**2** Essay supervision

Choose a question from topics I-III of the Michaelmas list (apart from the topic you've covered in 1). Preparation: as for 1.

**3** Essay supervision

Choose a question from topics I-III of the Michaelmas list (apart from the topics you've covered in 1 & 2). Preparation: as for 1.

**4** Essay supervision

Choose a question from topic IV of the Michaelmas list. Preparation: as for 1.

### LENT

**5** Essay supervision

Choose a question from topics V-VII of the Lent list. Preparation: as for 1.

**6** Essay supervision

Choose a question from topics V-VII of the Lent list (apart from the topic you've covered in 5). Preparation: as for 1.

**7** Essay supervision

Choose a question from topics V-VII of the Lent list (apart from the topics you've covered in 5 & 6). Preparation: as for 1.

**8** Essay supervision

Choose a question from topic VIII of the Lent list. Preparation: as for 1.

### EASTER

**9** Essay supervision

Choose a question from topic IX of the Easter list. Preparation: as for 1.

**10** Essay supervision

Choose a question from any list or from a past paper and write an essay under exam conditions.

**MICHAELMAS LIST**

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**Topic I – Reforming the System, 1861-1904**

- 1 'After 1861 classes began to replace *сословия*, but the social system remained unchanged.' Discuss.
  - 2 'Class relations were fracturing the “peasant mode of production” in post-emancipation Russia.' Discuss.
  - 3 'The concept of “class” is the key to the understanding of the socio-economic realities of Russia in the period 1861-1904.' Discuss.
  - 4 'Post-emancipation Russia was feudal.' Discuss.
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**Topic II – Modernization & the State, 1861-1894**

- 5 'The reforms which followed the emancipation of the serfs were ill-conceived and, by 1904, fatal to the autocracy.' Discuss.
  - 6 '*Гласность* and reform pointed ineluctably towards terror and assassination.' Discuss with reference to the period 1864-1881.
  - 7 'By eschewing change Aleksandr III guaranteed stability.' Discuss.
  - 8 'Aleksandr III turned Russia into a “well-ordered police state”.' Discuss.
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**Topic III – Modernization & Society, 1861-1904**

- 9 'Between 1861 and 1904 Russian imperialism was driven forward by international capitalism.' Discuss.
  - 10 'The state needed the peasantry but the peasantry did not need the state.' Discuss with reference to the period 1861-1904.
  - 11 'A bourgeois-democratic polity failed to develop in late nineteenth-century Russia because of the timidity of the bourgeoisie.' Discuss.
  - 12 Assess the significance of ANY TWO of the following: (a) G. V. Plekhanov; (b) M. T. Loris-Melikov; (c) V. K. von Plehve; (d) K. P. Pobedonostev.
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**Topic IV – Primary Sources I & II**

- 13 Discuss the usefulness to historians of ONE of the following sources:
  - (a) *Программа исполнительного комитета партии «Народной воли»* and *Письмо исполнительного комитета партии «Народной воли» к Александру III.*
  - (b) *Выставка русской промышленности 1896 г.* and С. Ю. Витте, *О положении русской промышленности.*

**LENT LIST**

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**Topic V – Modernization & Revolution, 1861-1904**

- 14 'Russian social democracy was predicated on a misunderstanding of the country's socio-economic structures.' Discuss with reference to the period up to 1914.
- 15 'There is little evidence of a "developing revolutionary situation" in the Russian Empire in the decade before 1904.' Discuss.
- 16 'By 1904 urbanization was the main threat to the autocracy.' Discuss.
- 17 'War is the locomotive of history.' Discuss this aphorism with reference to Russia in the period 1861-1905.

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**Topic VI - Revolution & the Economy, 1905-1914**

- 18 Account for the Revolution of 1905.
- 19 Consider the view that the major problem confronting the Duma Monarchy was the failure of Russian capitalism.
- 20 Assess the significance of the career of P. A. Stolypin.
- 21 'The state's economic policies served only to produce a disgruntled peasantry and a revolutionary working class.' Discuss with reference to the period 1905 to 1914.

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**Topic VII – The Duma Monarchy & its Problems, 1905-1914**

- 22 'An exercise in futility.' Discuss this assessment of the political history of the Duma Monarchy.
- 23 'War is the supreme test of every social and political system.' Discuss with reference to the period 1904 to October 1917.
- 24 'The wires of democracy cannot stand too high a voltage' (Trotskii). Consider the period October 1905 to February 1917 in the light of this statement.
- 25 'The Russian state lacked popular legitimacy; as a consequence it was unable to rule effectively.' Discuss with reference to the period 1905 to February 1917.

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**Topic VIII – Primary Sources III & IV**

- 26 Discuss the usefulness to historians of ONE of the following sources:
  - (a) *Манифест об усовершенствовании государственного порядка* (*Манифест 17 Октября 1905 г.*) and С. Ю. Витте, *Письмо о Манифесте 17 Октября 1905 г.*
  - (b) *Доклад начальника Петербургского охранного отделения Министру Внутренних Дел о ходе массовой забастовки в Петербурге в июле 1914 г.*

**EASTER LIST**

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**Topic IX – Russia in Revolution, 1914-Feb. 1917**

- 27 ‘By late 1916 the tsarist regime appeared to have overcome the crises engendered by war.’ Discuss.
- 28 ‘Nikolai II was responsible for the February Revolution.’ Discuss.
- 29 Compare and contrast the revolutions of 1905 and February 1917.
- 30 ‘The Romanov autocracy collapsed because of failure in war.’ Discuss.

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**Topic X**

- 31 Choose a revision question
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## SECTION 4: READING

### LOCATIONS

**Hardcopy** Many books and articles are in our MML library. Many, however, aren't in our library and very few will be in your college libraries, so you must get used to using the Seeley Library (in the History Faculty next to the Law building) and Marshall Library (in the Economics Faculty beside the Buttery) as well as the UL. Note that early volumes of *Slavic Review* may be catalogued as *American Slavic Review*.

**Online** JSTOR (<http://www.jstor.org/>) is an excellent site for journal articles. For a wonderful site on Marxism, Russian revolutionaries and a host of revolutionary and radical figures in general see (<http://www.marxists.org/>). If you come across other good sites let me know. Avoid popular sites like Wikipedia – they are full of inaccurate rubbish.

### ORGANIZATION OF THE READING LIST

**4.1 General works** are listed in rough chronological/thematic order. Of course you can't possibly read them all, nor are you expected to. They are for you to consult as necessary throughout the course. An invaluable work, which you should get to know and will often find useful on a given topic before you read anything else, is Wiczynski, J. L., ed., *The Modern Encyclopaedia of Russian and Soviet History* (multi-volume 1976 onwards).

It's commonly known as MERSH and is on reference in our library.

Also useful and in the MML library:

Gilbert, M. *Atlas of Russian History* (2008).

**4.2 Specialist reading** is listed under each lecture heading. Don't do any specialist reading until you've consulted a few general works. Again, you're not expected to read everything. The lists are to guide you to a range of texts when you need to deepen your knowledge of a particular topic.

## 4.1 GENERAL WORKS

### **Standard works:**

- Eklof, Ben, et al. *Russia's Great Reforms, 1855-1881* (1994)
- Florinsky, M. *Russia: A History and Interpretation* (2 vols., 1970).
- Kappeler, A. *The Russian Empire: A Multiethnic History* (2001).
- Lieven, Dominic *The Cambridge History of Russia* (2006) [available online](#)
- Moss, Walter *A History of Russia Vol 1 OR 2* (both cover 1855-1917) (2003)
- Pipes, R. *Russia under the Old Regime* (1974).
- Riasanovsky, N. V. *A History of Russia* (4th ed., 1984).
- Rogger, H. *Russia in the Age of Modernization and Revolution 1881-1917* (1983).
- Saunders, D. *Russia in the Age of Reaction and Reform 1801-1881* (1992).
- Seton-Watson, H. *The Russian Empire 1801-1917* (1967).
- Thaden, E. C. *Russia Since 1801: The Making of a New Society* (1971).
- Vernadsky, G. *A History of Russia* (5 vols., 1943-69).
- Waldron, Peter *The End of Imperial Russia, 1855-1917* (1997)
- Weeks, Theodore *Across the Revolutionary Divide: Russia and the USSR, 1861-1945* (2011)

### **Historiography:**

- Boltunova, Ekaterina 'Unity, Disintegration, and Monarchy: Romanov Russia in Recent Scholarship', *Kritika: Explorations in Russian and Eurasian History*, 11 (2010), pp. 871–888
- Sanders, Thomas *Historiography of Imperial Russia: The Profession and Writing of History in a Multinational State* (1999)

### **Biography:**

- Carrère d'Encausse, H. *Nicholas II: The Interrupted Transition* (2000).
- Ferro, M. *Nicholas II: The Last of the Tsars* (1991).
- Lieven, D. *Nicholas II: Twilight of the Empire* (1996).

### **Duma Monarchy & Revolutions:**

- Acton, E., Cherniaev, V. & Rosenberg, W., eds. *Critical Companion to the Russian Revolution 1914-1921* (1997).
- Ascher, A. *P. A. Stolypin: The Search for Stability in Late Imperial Russia* (2001).
- \_\_\_\_\_ *The Revolution of 1905* (1988).
- Stockdale, M. K. *Paul Miliukov and the Quest for a Liberal Russia 1880-1918* (1996).
- Surh, G. *St Petersburg in 1905: Labor, Society and Revolution* (1989).
- Waldron, P. *Between Two Revolutions: Stolypin and the Politics of Renewal in Russia* (1997).

### **Economy and society:**

- Black, C. E. *The Modernization of Japan and Russia: A Comparative Study* (1985).
- Blum, J. *Lord and Peasant in Russia from the Ninth to the Nineteenth Century* (1961).
- Crisp, O. *Studies in the Russian Economy Before 1914* (1976).
- Ely, C. *This Meagre Nature: Landscape and National Identity in Imperial Russia* (2002).
- Falkus, M. *The Industrialization of Russia 1700-1914* (1972).
- Gatrell, P. *The Tsarist Economy 1850-1917* (1986).
- Hutton, M. J. *Russian and West European Women 1860-1939: Dreams, Struggles and Nightmares* (2001).
- Lyashchenko, P. I. *History of the National Economy of Russia to 1917* (1949).
- Moon, D. 'Reassessing Russian serfdom', *European History Quarterly* (4, 1996).
- \_\_\_\_\_ *The Russian Peasantry 1600-1930: The World the Peasants Made* (1999).
- Rieber, A. J. *Merchants and Entrepreneurs in Imperial Russia* (1982).
- Robinson, G. T. *Rural Russia under the Old Regime* (1932).
- Roosevelt, P. *Life on the Russian Country Estate: A Social and Cultural History* (1995).
- Venturi, F. *Roots of Revolution* (1960).
- Wirtschafter, E. K. *Social Identity in Imperial Russia* (1997).
- Yaney, G. L. *The Urge to Mobilize: Agrarian Reform in Russia 1861-1930* (1982).

### **Government and society:**

- Brooks, J. *When Russia Learned to Read: Literacy and Popular Literature 1861-1917* (1985).
- Freeze, G. L. *The Parish Clergy in Nineteenth-Century Russia: Crisis, Reform and Counter-Reform* (1983).  
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[‘The soslovie \(estate\) paradigm and Russian social history’, \*American Historical Review\* \(1, 1986\).](#)
- Geyer, D. *Russian Imperialism: the Interaction of Domestic and Foreign Policy, 1860-1914* (1987).
- Keep, J. H. L. *Soldiers of the Tsar: Army and Society in Russia 1462-1874* (1985).
- Kucherov, S. *Courts, Lawyers and Trials under the Last Three Tsars* (1953).
- Lincoln, W. B. *The Great Reforms: Autocracy, Bureaucracy and the Politics of Change in Imperial Russia* (1990).
- McCauley, M. & Walden, P. *The Emergence of the Modern Russian State 1855-61* (1988).
- Manning, R. T. *The Crisis of the Old Order in Russia: Gentry and Government* (1982).
- Nikitenko, A. *Up From Serfdom* (2001).
- Orlovsky, D. T. *The Limits of Reform. The Ministry of Internal Affairs in Imperial Russia 1801-1881* (1981).
- Pearson, T. S. *Russian Officialdom in Crisis: Autocracy and Local Self-Government 1861-1900* (1989).
- Raeff, M. *Understanding Imperial Russia. State and Society in the Old Regime* (1984).
- Starr, S. F. *Decentralization and Self-Government in Russia 1830-1870* (1972).
- Wcislo, F. W. *Reforming Rural Russia: State, Local Society, and National Policies 1855-1914* (1990).
- Wirtschaftfer, E. K. *From Serf to Russian Soldier* (1990).
- Yaney, G. L. *The Systematization of Russian Government: Social Evolution in the Domestic Administration of Imperial Russia 1711-1905* (1973).
- Ideology:**
- Treadgold, D. W. *The West in Russia and China: Religious and Secular Thought in Modern Times. Vol. I: Russia 1472-1917* (1973).
- Ulam, A. B. *Ideologies and Illusions: Revolutionary Thought from Herzen to Solzhenitsyn* (1976).  
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*In the Name of the People: Prophets and Conspirators in Pre-Revolutionary Russia* (1977).
- Walicki, A. *A History of Russian Thought from the Enlightenment to Marxism* (1980).

## 4.2 SPECIALIST READING

The questions grouped under each lecture heading relate broadly to the key issues historians are interested in, so keep them in mind when reading.

### Lecture 1: Introduction to the course

What were the main features of Russian government in the mid-nineteenth century? Can the Romanovs be described as absolute monarchs? Was the autocracy a part of a 'feudal' system of social relationships?

#### **On the structure of Russian history see:**

- Blackwell, W. L. 'Modernization and urbanization in Russia: a comparative view', in Hamm, M. F., ed., *The City in Russian History* (1976).
- Hellie, R. 'The structure of modern Russian history: towards a dynamic model' [and rejoinders by Kleimola, Cracraft, Wortman], *Russian History* (1, 1977).
- Tipps, D. C. 'Modernization theory and the study of national societies: a critical perspective', *Comparative Studies in Society and History* (15, 1973).

#### **On government and society see:**

- Bennett, H. A. 'Evolution in the meaning of *chin*: an introduction to the Russian institution of rank ordering and niche assignment from the time of Peter the Great's Table of Ranks to the Bolshevik Revolution', *California Slavic Studies* (10, 1977).
- Black, C. E. *The Dynamics of Modernization* (1966) ch.1.
- Freeze, G. L. 'Handmaiden of the state? The Church in Imperial Russia reconsidered', *Journal of Ecclesiastical History* (1, 1985).
- \_\_\_\_\_ 'The *soslovie* (estate) paradigm and Russian social history', *American Historical Review* (1, 1986).
- Kahan, A. 'Notes on serfdom in Eastern and Western Europe', *Journal of Economic History* (1, 1973).
- Kipp, W. & Lincoln, W. B. 'Autocracy and reform: bureaucratic absolutism and political modernization in nineteenth-century Russia', *Russian History* (1, 1979).
- Okenfus, M. J. 'From school class to social caste: the divisiveness of early modern Russian education', *Jahrbücher für Geschichte Osteuropas* (33, 1985).
- Perrie, M. 'Folklore as evidence of peasant mentalité: social attitudes and values in Russian popular culture', *Russian Review* (2, 1989).
- Raeff, M. 'The bureaucratic phenomena of Imperial Russia 1700-1905', *American Historical Review* (4, 1979).

## **Reforming the system c.1860-70**

### **Lecture 2: Revolution from above I: The end of serfdom**

Why did Aleksandr decide to abolish serfdom? How was abolition organized? What form did it take? What were the immediate gains and losses for the various groups involved in the process? Was the principle of autocracy compromised?

Moon, D. *The Abolition of Serfdom in Russia, 1762-1907* (2001).

#### **On the state see:**

- Emmons, T., ed. *The Emancipation of the Russian Serfs* (1970).  
 Lincoln, W. B. *In the Vanguard of Reform: Russia's Enlightened Bureaucracy 1825-1861* (1982) chs.4-6.  
 Mosse, A. *Alexander II and the Modernization of Russia* (1958).  
 McCauley, M. et al. *The Emergence of the Modern Russian State, 1855-81* (1988)  
 Pereria, N. G. O. 'Alexander II and the decision to emancipate the Russian serfs', *Canadian Slavonic Papers* (1, 1980).  
 Rieber, A. 'Alexander II: a revisionist view', *Journal of Modern History* (43, 1971).  
 Zakharova, L. 'The reign of Alexander II: a watershed?' (Cambridge Histories Online).  
 Zaionchkovskii, P. A. *The Abolition of Serfdom in Russia* (1978) chs.1-3, conclusion.

#### **On the dvorianstvo see:**

- Emmons, T. *The Russian Landed Gentry and the Peasant Emancipation of 1861* (1968) chs.3-8.  
 Field, D. *The End of Serfdom: Nobility and Bureaucracy in Russia 1855-1861* (1976) introduction, chs.1-2, conclusion.  
 Kolchin, P. 'In defense of servitude: American proslavery and Russia proserfdom arguments 1760-1860', *American Historical Review* (85, 4, 1980).

#### **On the peasantry see:**

- Adler, C. C. 'The "revolutionary situation" of 1859-1861', *Canadian Slavic Studies* (2, 1969).  
 Beerman, R. 'Prerevolutionary Russian peasant laws', in Butler, W. E., ed., *Russian Law: Historical and Political Perspectives* (1977).  
 Domar E. D. & Machina, M. J. 'On the profitability of Russian serfdom', *Journal of Economic History* (4, 1984).  
 Eklof, B. & Frank, S. eds. *The World of the Russian Peasant* (1990)  
 Emmons, T. 'The peasant and emancipation', in Vucinich, W. S., ed., *The Peasant in Nineteenth-Century Russia* (1968).  
 Field, D. *Rebels in the Name of the Tsar* (1976) ch.2.  
 Moon, D. 'Russian peasant volunteers at the beginning of the Crimean War', *Slavic Review* (4, 1992).  
 Moon, D. 'Reassessing Russian serfdom', *European History Quarterly* (4, 1996).

#### **See also:**

- Paperno, I. 'The liberation of the serfs as a cultural symbol', *Russian Review* (4, 1991).

Lecture 3: Revolution from above II: Controlling society

Why were reforms made in the army, the legal system, local government, education and the censorship? Why was there no ‘crowning of the system’ — no constitution? What overall assessments can be made of the ‘Great Reforms’?

Eklof, B., Bushnell, J., & Zakharova, L., eds. *Russia's Great Reforms 1855-1881* (1994) articles by Zhakarova, Nardova, Petrov, Afanas'ev.  
 Lincoln, W. Bruce, *The Great Reforms: Autocracy, Bureaucracy, and the Politics of Change in Imperial Russia* (1990)

**On ‘crowning the system’ see:**

Malloy, J. ‘Russian liberalism and the closing of the 1867 St Petersburg zemstvo’, *Canadian Slavic Studies* (4, 1970).  
 Raeff, M., ed. *Plans for Political Reform in Imperial Russia* (1966) ch.8.

**On local government see:**

Abbott, R. ‘Police reform in the Russian province of Iaroslavl’ 1856-1876’, *Slavic Review* (2, 1973).  
 Emmons, T. *The Russian Landed Gentry and the Peasant Emancipation of 1861* (1968) chs.9-10.  
 McKenzie, K. E. ‘Zemstvo organization and role within the administrative structure’, in Emmons, T. & Vucinich, W. S., eds., *The Zemstvo in Russia* (1982).  
 Owen, T. C. *Capitalism and Politics in Russia: A Social History of the Moscow Merchants 1855-1905* (1981) ch.4.  
 Walkin, J. *The Rise of Democracy in Pre-Revolutionary Russia* (1963) ch.7.

**On education and censorship see:**

Brooks, J. ‘The zemstvo and the education of the people’, in Emmons, T. & Vucinich, W. S., eds., *The Zemstvo in Russia* (1982).  
 Dodge, R. H. ‘Peasant education and zemstvo schools in Moscow province 1865-1905’, *Topic* (1, 1974).  
 Eklof, B. ‘The myth of the zemstvo school’, *History of Education Quarterly* (4, 1984).  
 ——— *Russia's Peasant Schools: Officialdom, Village Culture and Popular Pedagogy 1861-1914* (1986) ch.5.  
 Miller, F. A. *Dmitrii Miliutin and the Reform Era in Russia* (1968) ch.4.  
 Rudd, C. ‘The censorship law of 1865’, *Canadian Slavic Studies* (2, 1969).  
 Walkin, J. *The Rise of Democracy in Pre-Revolutionary Russia* (1963) ch.5.

**On the judicial and legal systems see:**

Czap, P. ‘Peasant class courts and peasant customary justice in Russia 1861-1912’, *Journal of Social History* (2, 1967).  
 Frank, S. P. ‘Popular justice, community and culture among the Russian peasantry 1870-1900’, *Russian Review* (3, 1987).  
 Frierson, C. ‘Crime and punishment in the Russian village: rural concepts of criminality at the end of the nineteenth century’, *Slavic Review* (1, 1987).  
 Wortman, R. S. *The Development of a Russian Legal Consciousness* (1976) part 2.  
 ——— ‘Judicial personnel and the court reform of 1864’, *Canadian Slavic Studies* (2, 1969).

**On the army see:**

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## **Modernization and the state c.1870-1904**

### **Lecture 4: Revolution from above III: Industrialization**

Why did large-scale industrialization begin in the third quarter of the century? What role was played by the state? Was there an 'industrial revolution'? Was some form of capitalism developing? What was the relationship between industrialization, the Great Reforms and Russian imperialism? Was Russian industrialization born of Western imperialism?

#### **On the policies and processes of industrialization see:**

- Blackwell, W. L.            *The Industrialization of Russia* (1970) ch.2.  
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#### **On the regions see:**

- Bater, J. H.                *St Petersburg: Industrialization and Change* (1976) chs.4-5.  
 Bater, J. H. & French, R. A., eds.     *Studies in Russian Historical Geography* (vol.2, 1983) articles by Bater, Blackwell.  
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 Treadgold, D. W.        *The Great Siberian Migration: Government and Peasant Resettlement from Emancipation to the First World War* (1957) part 3.

#### **Associated primary source:**

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### Lecture 5: Reaction in modernization: Aleksandr III and Nikolai II

Why did the regime lose confidence in reform? What influence did conservative thinkers have in the bureaucracy? How did the regime try to promote a conservative ideology of its own? What was the scope of the 'counter-reforms' and how effective were they?

#### **On the crisis of 1881-2 see:**

- Heilbronner, H. 'Alexander III and the reform plan of Loris-Melikov', *Journal of Modern History* (33, 1961).  
 Raeff, M., ed. *Plans for Political Reform in Imperial Russia* (1966) ch.9.  
 Zaionchkovskii, P. *The Russian Autocracy Under Alexander III* (1976) ch.1.

#### **On central government see:**

- Hare, R. *Portraits of Russian Personalities Between Reform and Revolution* (1959) ch.9.  
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 Pintner, W. M. 'Reformability in the age of reform and counterreform', in Crummey, R. O., ed., *Reform in Russia and the USSR* (1989).  
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#### **On local government see:**

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#### **On conservatism and Pan-Slavism see:**

- Adams, A. E. 'Pobedonostsev and the rule of firmness', *Slavonic & East European Review* (32, 1953).  
 Byrnes, R. *Pobedonostsev: His Life and Thought*. (1968) chs.8-9.  
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## **Modernization and society c.1870-1904**

### **Lecture 6: Old wine into new bottles: крестьянство and дворянство**

What long-term effect did the reforms have on the peasants and the landowners? Was the gentry in decline? Was a process of social differentiation beginning within the peasantry? Was capitalist farming developing?

#### **On the dvorianstvo see:**

- Becker, S. *Nobility and Privilege in Late Imperial Russia* (1985) chs.1-2.  
 Emmons, T. 'The Russian landed gentry and politics', *Russian Review* (3, 1974).  
 Sinel, A. A. 'The socialization of the bureaucratic elite 1811-1917: life at the Tsarskoe Selo Lyceum and the School of Jurisprudence', *Russian History* (1, 1976).

#### **On the peasant economy see:**

- Discussion On post-1861 village: *Russian Review* (1, 1985).  
 Burds, J. *Peasant Dreams and Market Politics: Labor Migration and the Russian Village 1861-1905* (1998).  
 Hoch, S. L. 'Did Russia's emancipated serfs really pay too much for too little land? Statistical anomalies and long-tailed distributions', *Slavic Review* (2, 2004)  
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 Watters, F. M. 'The peasant and the village commune', in Vucinich, W. S., ed., *The Peasant in Nineteenth-Century Russia* (1968).

#### **On peasant society see:**

- Atkinson, D. 'The zemstvo and the peasantry', in Emmons, T. & Vucinich, W. S., eds., *The Zemstvo in Russia* (1982).  
 Eklof, B. *Russia's Peasant Schools: Officialdom, Village Culture and Popular Pedagogy 1861-1914* (1986) part 4.  
 \_\_\_\_\_ 'Ways of seeing: recent Anglo-American studies of the Russian peasant (1861-1914)', *Jahrbücher für Geschichte Osteuropas* (1, 1988).  
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 Frieden, N. M. 'Child care: medical reform in a traditionalist culture', in Ransel, D. L., ed., *The Family in Imperial Russia: New Lines of Historical Research* (1976).  
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 \_\_\_\_\_ 'Temptress or virgin? The precarious sexual position of women in postemancipation Ukrainian peasant society', *Slavic Review* (2, 1990).  
 \_\_\_\_\_ 'Witchcraft beliefs and practices in prerevolutionary Russian and Ukrainian villages', *Russian Review* (2, 1995).

### Lecture 7: The fractured class: Workers

What were the social origins of the workforce? What was the extent of proletarianization? Was there a 'working class' in late nineteenth-century Russia? What effect did the expansion of the labour force have on the level of urbanization?

#### **On working-class formation see:**

- Burds, J. Peasant Dreams and Market Politics: Labor Migration and the Russian Village (1998) part I.
- Crisp, O. 'Labour and industrialization in Russia', in *The Cambridge Economic History of Europe* (vol.8 part 2 1965).
- Ekonomakis, E. G. 'Patterns of migration and settlement in pre-revolutionary St Petersburg: peasants from Iaroslavl' and Tver' provinces', *Russian Review* (1, 1997).
- Heer, D. The demographic transition of the Russian Empire and the Soviet Union', *Journal of Social History* (1, 1968).
- Johnson, R. E. 'Family relations and the rural-urban nexus', in Ransel, D. L., ed., *The Family in Imperial Russia: New Lines of Historical Research* (1976).
- Johnson, R. 'Peasant migration and the Russian working class: Moscow at the end of the nineteenth century', *Slavic Review* (4, 1976).
- Martin, A.M. '[History, Memory, and the Modernization of 19th-Century Urban Russia](#)', *Kritika*, 11 (2010) (review article of recent scholarship)
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- von Laue, T. H. 'Russian labor between field and factory 1892-1903', *California Slavic Studies* (3, 1964).
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#### **On working-class life see:**

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- Engel, B. A. *Between the Fields and the City: Women, Work, & Family in Russia 1861-1914* (1994) chs.4-7, conclusion.
- \_\_\_\_\_ 'Women, work and family in the factories of rural Russia', *Russian History* (2-4, 1989).
- Glickman, R. *Russian Factory Women: Workplace and Society 1880-1914* (1984) chs.1-5.
- Koenker, D. 'Urban families', in Ransel, D. L., ed., *The Family in Imperial Russia: New Lines of Historical Research* (1976).
- Rimlinger, G. 'Autocracy and the factory order in early Russian industrialization', *Journal of Economic History* (20, 1960).
- Schneiderman, R. *Sergei Zubatov and Revolutionary Marxism* (1970) ch.1.
- Thatcher, I. D. 'Late Imperial urban workers', in I. D. Thatcher, ed., *Late Imperial Russia: Problems and Prospects; Essays in Honour of R. B. McKean* (2005)
- Zelnik, R. *Labor and Society in Tsarist Russia: The Factory Workers of St Petersburg, 1855-1870* (1971) chs.6-7.
- \_\_\_\_\_ 'The peasant in the factory', in Vucinich, W. S., ed., *The Peasant in Nineteenth-Century Russia* (1968).
- \_\_\_\_\_ *A Radical Worker in Tsarist Russia: The Autobiography of Semën Ivanovich Kanatchikov* (1986) chs.10-18.

Lecture 8: The missing class: The bourgeoisie

Did industrialization and the Great Reforms generate a native bourgeoisie? What effects did the 'counter-reforms' have on the 'political nation'? How effective was the attempt to impose ideological hegemony? To what extent had the 'middling ranks' of Russian society become politicized by the end of the century?

- Guroff, G. & Carstensen, F., eds. *Entrepreneurship in Imperial Russia and the Soviet Union* (1982) articles by Anan'ich, Armstrong, Blackwell, Owen.  
 Bill, V. *The Forgotten Class: The Russian Bourgeoisie from the Earliest Beginnings to 1900* (1959) chs.1,3,5,6-8.  
 Freeze, G. L. 'Caste and emancipation: the changing status of clerical families in the Great Reforms', in Ransel, D. L., ed., *The Family in Imperial Russia: New Lines of Historical Research* (1976).  
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 Pilbeam, P. M. *The Middle Classes in Europe 1789-1914: France, Germany, Italy and Russia* (1990) chs.1,4,6-7,9.  
 Portal, R. Muscovite industrialists: the cotton sector 1861-1914', in Blackwell, W. L., ed., *Russian Economic Development from Peter the Great to Stalin* (1974).  
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 Trotsky, L. D. *1905* (1973) ch.2.  
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**On the 'political nation' see:**

- Acton, E. 'The Russian intelligentsia and industrialization', in Bartlett, R. P., ed., *Russian Thought and Society 1800-1917: Essays in Honour of Eugene Lampert* (1984).  
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 Pipes, R. *Struve: Liberal on the Left 1870-1905* (1970) chs.11-12.  
 Raeff, M. 'A reactionary liberal: M. N. Katkov', *Russian Review* (3, 1952).  
 Schapiro, L. 'The pre-revolutionary intelligentsia and the legal order', in Pipes, R., ed., *The Russian Intelligentsia* (1961).  
 Stites, R. *The Women's Liberation Movement in Russia. Feminism, Nihilism and Bolshevism 1860-1930* (1978) ch.6.

## **Modernization and revolution c.1870-1904**

### **Lecture 9: Heroic society: народничество and terror**

What ideas motivated the various revolutionary individuals and groups? Was there a constituency for revolution? What were the effects of the terror campaigns?

#### **On the social background see:**

- Glickman, R. 'An alternative view of the peasantry: the *raznochinsty* writers of the 1860s', *Slavic Review* (4, 1973).  
 \_\_\_\_\_ *Training the Nihilists: Education and Radicalism in Tsarist Russia* (1975) chs.1,4,6.  
 Stites, R. *The Women's Liberation Movement in Russia. Feminism, Nihilism and Bolshevism 1860-1930* (1978) ch.5.  
 Trice, T. 'Rites of protest: Populist funerals in Imperial St Petersburg 1876-78', *Slavic Review* (1, 2001).

#### **On the theory and practice of populism see:**

- Billington, J. H. *Mikhailovsky and Russian Populism* (1958) chs.5-10.  
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 \_\_\_\_\_ *The Russian Revolutionary Movement in the 1880s* (1986) parts 1-3.  
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#### **On the impact of populism see:**

- Daly, J. W. *Autocracy under Siege: Security Police and Opposition in Russia 1866-1905* (1998).  
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 Zelnik, R. 'Populists and workers: the first encounter between Populist students and industrial workers in St Petersburg', *Soviet Studies* (24, 1972).

#### **Associated primary source:**

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Lecture 10: Claiming the future: Marxism and socialism

Why did marxism take root in Russia? How was marxism adapted to Russian conditions?  
 What divided the marxists and what united them? How effective was revolutionary social-democracy?

**On classical marxism see:**

- Marx, K. *A Contribution to the Critique of Political Economy* (1859) preface.  
 Marx, K. & Engels, F. *The Communist Manifesto* (1848) preface to the 1882 Russian ed., parts 1-2.

**On the origins of Russian marxism see:**

- Baron, S. H. 'Plekhanov and the origins of Russian marxism', *Russian Review* (1, 1954).  
 \_\_\_\_\_ *Plekhanov: The Father of Russian Marxism* (1963) chs.5-7.  
 Belfer, E. 'Zemlya vs. volya – from narodnichestvo to marxism', *Soviet Studies* (3, 1978).  
 Haimson, L. *The Russian Marxists and the Origins of Bolshevism* (1955) chs.3-4.  
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**On the debates between marxists see:**

- Baron, S. H. *Plekhanov: The Father of Russian Marxism* (1963) ch.13.  
 Haimson, L. *The Russian Marxists and the Origins of Bolshevism* (1955) ch.5.  
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 Pipes, R. *Russia Observed: Collected Essays on Russian and Soviet History* (1989) ch.6.  
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 Radkey, O. *The Agrarian Foes of Bolshevism* (1958) ch.2.  
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 Treadgold, D. W. *Lenin and His Rivals* (1955) chs.4-5.  
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**On the impact of marxism see:**

- Kingston-Mann, E. 'Marxism and Russian rural development: problems of evidence, experience, and culture', *American Historical Review* (86, 4, 1981).  
 McKinsey, P. S. 'The Kazan Square demonstration and the conflict between Russian workers and intelligenty', *Slavic Review* (1, 1985).  
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 Naimark, N. M. *Terrorists and Social Democrats: The Russian Revolutionary Movement Under Alexander III* (1983) chs.7-8.  
 Offord, D. *The Russian Revolutionary Movement in the 1880s* (1986) part 4.  
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 Stites, R. *The Women's Liberation Movement in Russia. Feminism, Nihilism and Bolshevism 1860-1930* (1978) ch.8.  
 Wildman, A. K. *The Making of a Workers' Revolution. Russian Social Democracy 1891-1903* (1967) ch.6.

Lecture 11: Autocracy as anachronism?: Economic and political crisis

How powerful were the challenges to the regime and how well equipped was it to confront them? Did the social and economic system stand in contradiction to the autocratic system at the beginning of the twentieth century?

**On the economy see:**

- Discussion On agriculture: *Slavic Review* (3, 1978).
- Gerschenkron, A. 'Agrarian policies and industrialization: Russia 1861-1917', in *The Cambridge Economic History of Europe* (vol.6 part 2, 1965).
- Robbins, R. C. *Famine in Russia 1891-1892: The Imperial Government Responds to Crisis* (1975) chs.1,5,conclusion.
- Sims, J. 'The crisis in Russian agriculture at the end of the nineteenth century: a different view', *Slavic Review* (3, 1977).
- \_\_\_\_\_ 'The crop failure of 1891: soil exhaustion, technological backwardness, and Russia's "agrarian crisis"', *Slavic Review* (2, 1982).
- von Laue, T. H. 'A secret memorandum of Sergei Witte on the industrialization of Russia', *Journal of Modern History* (26, 1954).
- \_\_\_\_\_ *Sergei Witte and the Industrialization of Russia* (1963) ch.7.
- Willets, H. T. 'The agrarian problem', in Katkov, G. & Oberländer, E., eds., *Russia Enters the Twentieth Century* (1971).
- Wolfe, B. 'Backwardness and industrialization in Russian history and thought', *Slavic Review* (2, 1967).

**On opposition and unrest see:**

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## **The Duma Monarchy and its problems c.1905-14**

### **Lecture 12: 1905-6: Bourgeois revolution?**

Was there one revolution or many revolutions? What forces were at work and what role was played by the political parties? How did the government try to deal with revolution? Did the events of 1905-6 create the pre-conditions for 'bourgeois democracy'?

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Lecture 13: 1907-14: Stolypin's gamble

Why did the government turn against the Dumas? To what extent did the 'coup of June 3rd' stabilize the situation? Why did Stolypin attempt large-scale social engineering in the Russian countryside? How successful were Stolypin's policies?

**On the 'wager on the strong' see:**

- Atkinson, D. 'The statistics on the Russian land commune 1905-1917', *Slavic Review* (4, 1973).
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## **Russia in revolution c.1914-17**

### Lecture 14: Russia and world war: 1914-16

Why did Russia become involved in war? How successful was the Russian war effort?  
Did the Great War retard or accelerate the movement towards revolution?

#### **On the industrial economy see:**

- Gatrell, P. 'Big business and the state in Russia 1915-1918: the engineering lobby', in J. Cooper, et al., eds., *Soviet History 1917-53: Essays in honour of R. W. Davies* (1995).
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Lecture 15: On the eve of revolution?: 1914-16

Was there a 'revolutionary situation' in Russia in 1914? Did a 'revolutionary situation' develop in the following two years?

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- Hogan, H. 'Class formation in the St Petersburg metalworking industry: from the "Days of Freedom" to the Lena Goldfields Massacre', in Siegelbaum, L. H. & Suny, R. G., eds., *Making Workers Soviet: Power, Class, and Identity* (1994).
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Lecture 16: Petrograd and the end of autocracy: February 1917

Why did the autocracy collapse in February 1917? What role was played by various social groups and by revolutionary parties and leaders in the city? What popular institutions were created by revolution and what role did they play?

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